

Electronic Acknowledgement Receipt

EFS ID:	7866290
Application Number:	09685398
International Application Number:	
Confirmation Number:	7911
Title of Invention:	System and method for automatically rebalancing portfolios by single response
First Named Inventor/Applicant Name:	James Richard Kraemer
Customer Number:	47121
Filer:	Gregory S. Bernabeo/Lynn White
Filer Authorized By:	Gregory S. Bernabeo
Attorney Docket Number:	RSW9-2000-0107-US1
Receipt Date:	22-JUN-2010
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Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	no
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		09685398_ReplytoOAof15-June-2010.pdf	89587 4f9b8bb76544ce338632d521ed6f0c3d7e3113ec	yes	9

	Multipart Description/PDF files in .zip description		
	Document Description	Start	End
	Amendment/Req. Reconsideration-After Non-Final Reject	1	1
	Claims	2	8
	Applicant Arguments/Remarks Made in an Amendment	9	9

Warnings:

Information:

Total Files Size (in bytes):	89587
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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.